

Whistleblowing

As a company and employer, we commit ourselves, our employees, customers and suppliers as a reliable, sustainable and customer-oriented supplier in the precious metals' business to fairness, legality and maintaining a long-term partnership. Therefore, compliance with all legal requirements, our supply chain due diligence principles and our internal guidelines are of utmost importance to SAXONIA. Any discrepancies could result in the loss of a business relationship or legal disputes, potentially damaging the company's reputation.

SAXONIA group with its employees in their day-to-day business is committed to comply with all applicable laws and regulations. This includes adherence to our supply chain due diligence principles for the precious metals gold, silver, platinum, palladium and rhodium. Refining of and trading with precious metals can be used to finance armed conflicts, to cause human rights violations, to claim forced or child labour, and to support both corruption and money laundering. You can find more information on this topic in the download area, in the OECD Due Diligence Guidance Annex II and the described grievance mechanism. Considering this it is our obligation to know the origin or extraction of the precious metals we process.

We guarantee full compliance with legal requirements as well as our internal principles and guidelines by means of organisational measures (e.g. principle of dual control, internal processes and guidelines), prevention (including trainings, audits), control efforts (including internal audits) and sanctions.

We encourage you, as our employees, customers, suppliers, prospective parties and other interested persons to support us in our endeavours by disclosing any relevant information. We will use this information to further improve our processes and guidelines. Every hint will be followed and measures will be taken.

You are welcome to send us any information anonymously. Please use the email address or phone number of our whistleblowing:

whistleblowing@saxonia.de
+49 (0)351 484585190

SAXONIA Holding GmbH
Dr.-Külz-Ring 10
01067 Dresden

Your information will be kept confidential.

In addition to our internal whistleblowing, you may also give information to external offices, such as:

Whistleblowing office of the Federal Financial Supervisory Authority (BaFin) at <https://www.bkms-system.net/bkwebanon/report/clientInfo?cin=2BaF6&c=-1&language=eng>

Whistleblowing office of the Federal Office of Justice at <https://www.bundesjustizamt.de/DE/MeldestelleBund/MeldestelleBund.html>

Whistleblowing office of the Bundeskartellamt at https://www.bundeskartellamt.de/DE/UeberUns/Kontakt/kontakt_node.html